

# Image quantization under spatial smoothness constraints

Anna Jezierska, Caroline Chaux, Hugues Talbot and Jean-Christophe Pesquet



Université Paris-Est, Institut Gaspard Monge, UMR-CNRS 8049  
F-77454 Marne la Vallée, Cedex 2, France  
e-mail: {first.last}@univ-paris-est.fr

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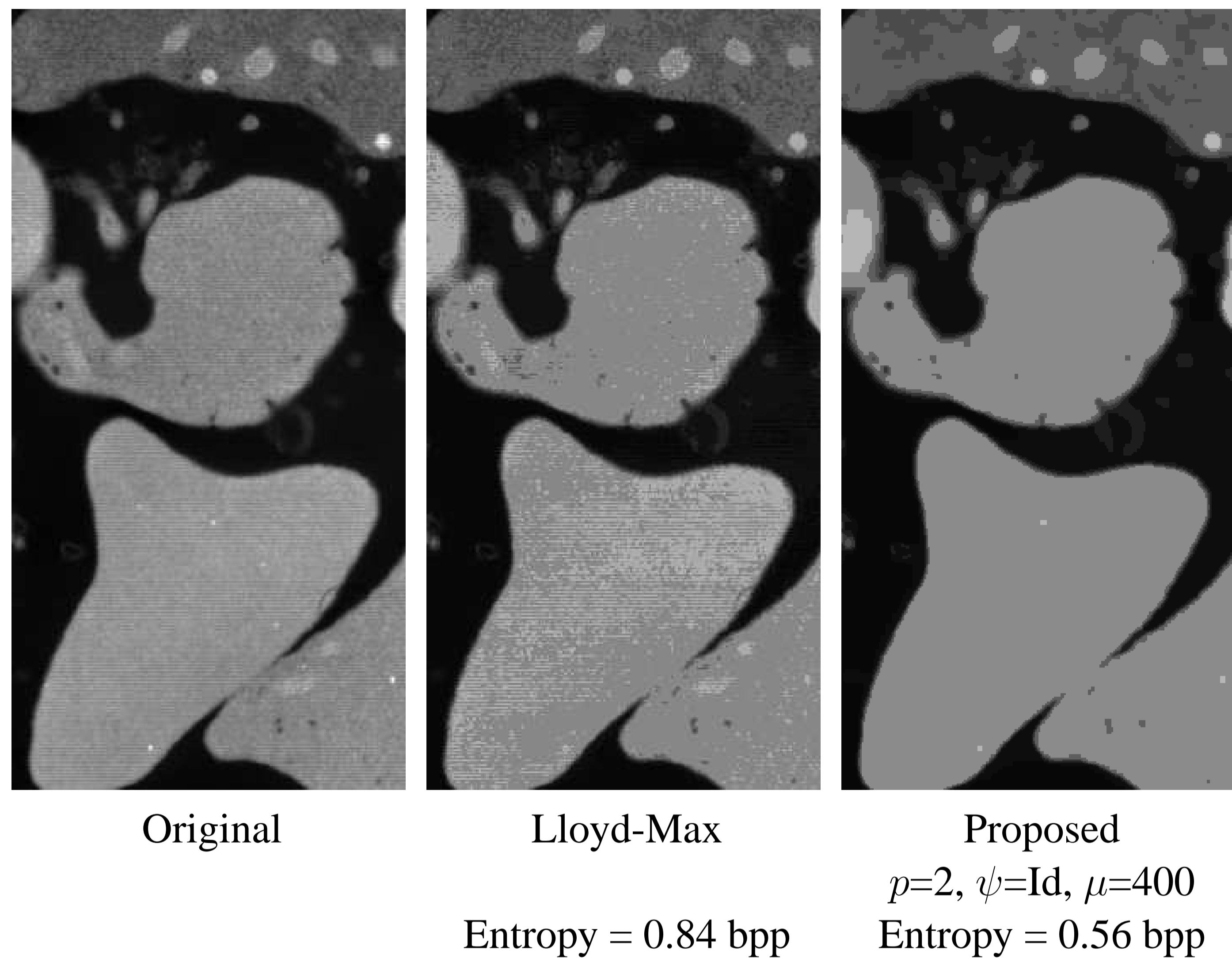
## INTRODUCTION

### State of the art:

- ✗ Lack of spatial regularity of the quantized image [Max, 60] [Lloyd, 82]

### Proposed algorithm:

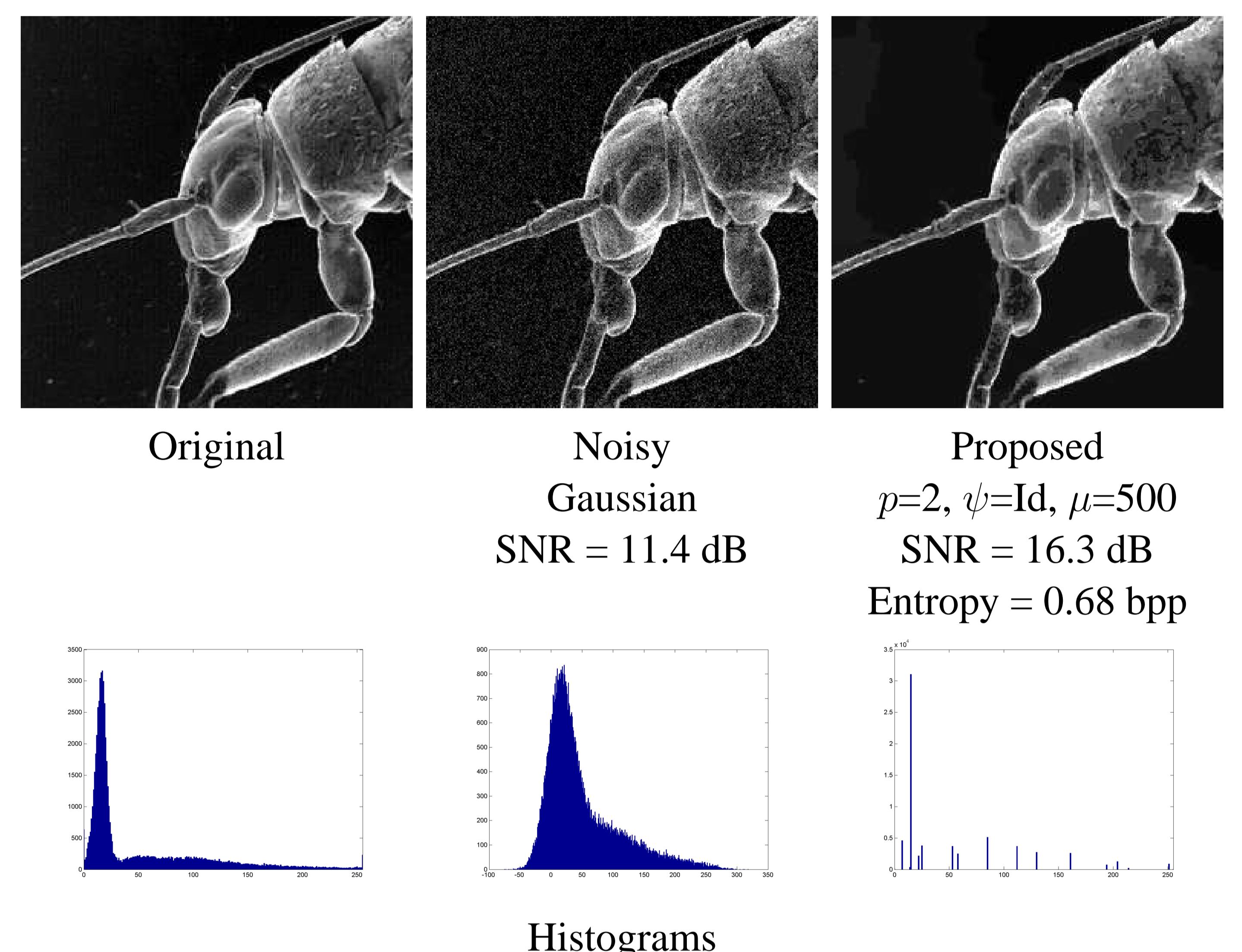
- ✓ An optimization approach involving a novel two-step, iterative, flexible, joint quantization-regularization method featuring both convex and combinatorial optimization techniques.



## ALGORITHM

- ❶ Choose the number of quantization levels  $Q$
- ❷ Initialize  $\mathbf{r}^0 \in \mathbb{C}_Q$
- ❸ Step 1: Find  $i_D^\ell$  minimizing  $\varphi(q_{i_D^\ell, r^\ell}, f) + \rho(i_D)$
- ❹ Step 2: Find  $\mathbf{r}^{\ell+1}$  minimizing  $\varphi(q_{i_D^\ell, r}, f)$  s.t.  $\mathbf{r} \in \mathbb{C}_Q$

Energy minimization achieved by repeating Steps 1 and 2 in the loop.



## PROBLEM

### Goal of algorithm:

- Find  $\mathbf{r}$  - the set of  $Q$  quantization values  $r_1, \dots, r_Q$ .
- Assign  $r_i$  to each image position  $(n, m)$  in an *optimal* way.

### Notations:

- $f$  - an original image of size  $N \times M$
- $\mathcal{D}$  - a partition of  $\{1, \dots, N\} \times \{1, \dots, M\}$ ,  $\mathcal{D} = (\mathbb{D}_k)_{1 \leq k \leq Q}$
- $i_D$  - a label image,  $i_D(n, m) = k \Leftrightarrow (n, m) \in \mathbb{D}_k$
- $q_{i_D, \mathbf{r}}$  - a quantized image.

### Lloyd-Max quantizer:

$$\underset{i_D, \mathbf{r}}{\text{minimize}} \varphi(q_{i_D, \mathbf{r}}, f)$$

$\varphi$  - some measure of data fidelity

### Proposed quantizer:

- $\mathbb{C}_Q$  - a closed convex cone,  $\mathbb{C}_Q = \{(s_1, \dots, s_Q) \in \mathbb{R}^Q \mid s_1 \leq \dots \leq s_Q\}$

$$\underset{i_D, \mathbf{r}}{\text{minimize}} \varphi(q_{i_D, \mathbf{r}}, f) + \rho(i_D) \quad \text{s.t.} \quad \mathbf{r} \in \mathbb{C}_Q$$

$\rho$  - a measure of smoothness, e.g. *anisotropic TV* defined as:

$$\rho(i_D) = \mu \left( \sum_{n=1}^{N-1} \sum_{m=1}^M \psi(|i_D(n+1, m) - i_D(n, m)|) + \sum_{n=1}^N \sum_{m=1}^{M-1} \psi(|i_D(n, m+1) - i_D(n, m)|) \right), \quad \mu \geq 0$$

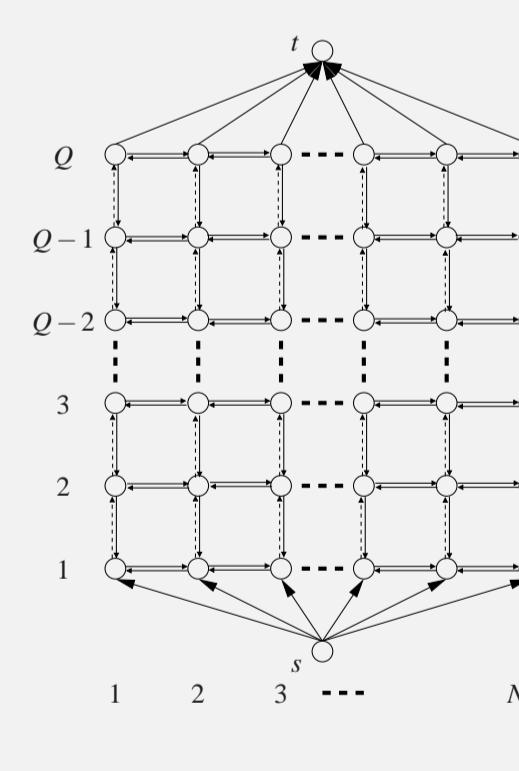
### Evaluation criteria:

- SNR [dB]
- Shannon entropy of order (2,2) [bpp]

## OPTIMIZATION

### Step 1:

- $i_D$  belongs to a nonconvex set of discrete values  $\Rightarrow$  **nonconvex** problem  $\Rightarrow$  use of **combinatorial optimization methods**
- $\rho$  *anisotropic TV*  $\Rightarrow$  **graph-cuts** : Ishikawa like framework [Ishikawa *et al.*, 99] or  $\alpha$ -expansion algorithm [Boykov *et al.*, 01]



**Ishikawa-like framework** for convex functional  $\psi$ :

- labels  $\iota$  take values from 1 to  $Q$
- capacity of data edge for node  $u_{\iota,j}$ :  $\varphi(r_\iota, f(n_j, m_j))$
- capacity of penalty edges:  $\mu$

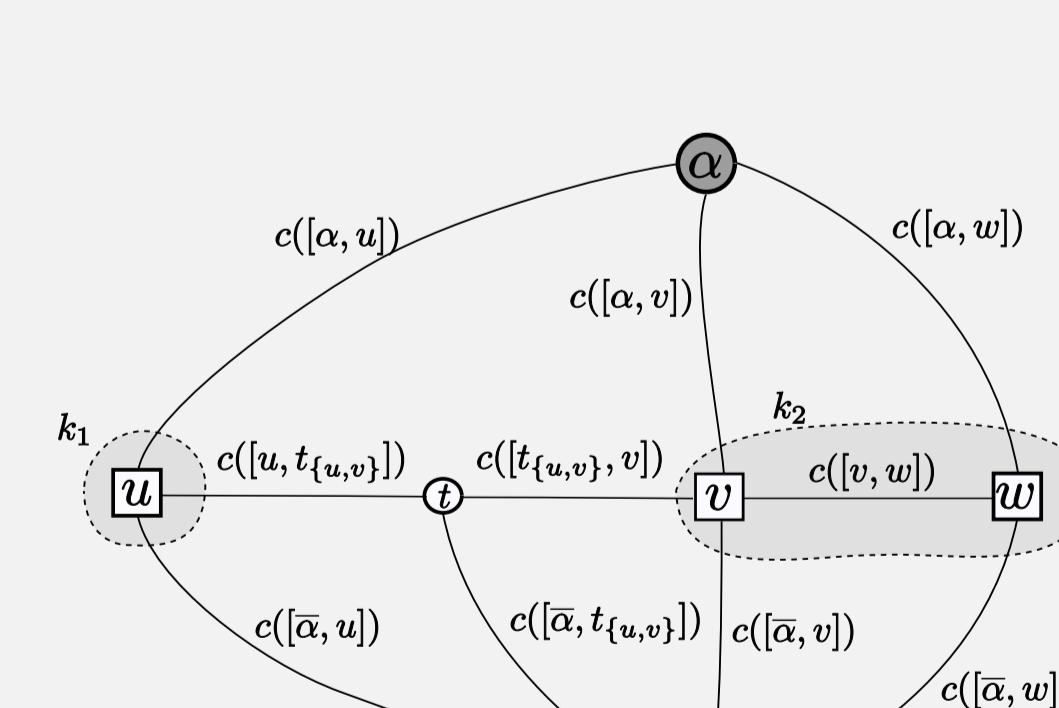


Image above:

node  $u$  belongs to label  $k_1$   
 $\{v, w\}$  belong to label  $k_2$

**$\alpha$ -expansion** for submodular functional  $\psi$ :  
 $i_D(n_u, m_u)$  for node  $u$  is denoted by  $i_u$

$$c([\bar{\alpha}, u]) = \begin{cases} +\infty & \text{if } i_u = \alpha \\ \varphi(r_{i_u}, f(n_u, m_u)) & \text{otherwise} \end{cases}$$

$$c([\alpha, u]) = \varphi(r_\alpha, f(n_u, m_u))$$

$$\begin{cases} c([u, t_{\{u,v\}}]) = \psi(|i_u - \alpha|) & \text{if } i_u \neq i_v \\ c([t_{\{u,v\}}, v]) = \psi(|\alpha - i_v|) & \text{if } i_u \neq i_v \\ c([\bar{\alpha}, t_{\{u,v\}}]) = \psi(|i_u - i_v|) & \text{if } i_u = i_v \end{cases}$$

$$c([u, v]) = \psi(|i_u - \alpha|) \quad \text{if } i_u = i_v$$

**Step 2:** If  $\varphi(\cdot, f)$  is convex, the determination of  $\mathbf{r}^{(\ell+1)}$  given  $i_D^{(\ell)}$  is a conic constrained convex optimization problem.

$\ell_p$ -norm:  $\mathbf{r}^{(\ell+1)} \in \underset{\mathbf{r} \in \mathbb{C}_Q}{\text{Argmin}} \sum_{k=1}^Q \sum_{(n,m) \in \mathbb{D}_k^{(\ell)}} \omega_{n,m} |r_k - f(n, m)|^p, p \geq 1$

Solution: **proximal algorithms** [Combettes and Pesquet, 2010], **FISTA** [Beck and Teboulle, 09]